

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHODS AND DEVICES FOR MODULATING THE IMMUNE RESPONSE**

the Specification of which

☐ is attached hereto

☒ was filed on March 1, 1999

as Application Serial No. 09/259,929

and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any provisional application filed in the United States in accordance with 35 U.S.C. §1.119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FILED APPLICATION(S)

<u>APPLICATION</u> <u>NUMBER</u>	<u>COUNTRY</u>	<u>(DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY</u> <u>CLAIMED</u>
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We hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NO.	FILING DATE (DAY/MONTH/YEAR)	STATUS - PATENTED, PENDING, ABANDONED
09/033,402	02 MARCH 1998	PENDING

We hereby appoint as our attorneys or agents the following persons: Jack Matalon, (Attorney, Registration No. 22,441); Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Michael D. Davis (Attorney, Registration No. 39,161); Joseph M. Homa (Attorney, Registration No. 40,023); Christine E. Dietzel (Agent, Registration No. 37,309); William C. Coppola (Attorney, Registration No. 41,686); Donald J. Cox, Jr. (Attorney, Registration No. 37,804); Steven B. Stein (Attorney, Registration No. 43,159); Yuan Kong (Agent, Registration No. 43,728); and Michael A. Yamin (Agent, Registration No. 44,414), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR : ANTHONY CERAMI

COUNTRY OF CITIZENSHIP : United States

FULL RESIDENCE ADDRESS : 525 East 72nd Street  
New York, New York 10021

FULL POST OFFICE ADDRESS : 525 East 72nd Street  
New York, New York 10021

SIGNATURE OF INVENTOR A. Cerami

DATE 4/5/99

FULL NAME OF SECOND INVENTOR : CARLA CERAMI  
COUNTRY OF CITIZENSHIP : United States  
FULL RESIDENCE ADDRESS : 131 Avenue A  
New York, New York 10009  
FULL POST OFFICE ADDRESS : 131 Avenue A  
New York, New York 10009  
SIGNATURE OF INVENTOR Clere  
DATE 4/1/99

FULL NAME OF THIRD INVENTOR : COHAVA GELBER  
COUNTRY OF CITIZENSHIP : Israel  
FULL RESIDENCE ADDRESS : 11 Clubway  
Hartsdale, New York 10530  
FULL POST OFFICE ADDRESS : 11 Clubway  
Hartsdale, New York 10530  
SIGNATURE OF INVENTOR \_\_\_\_\_  
DATE \_\_\_\_\_

FULL NAME OF FOURTH INVENTOR : DAVID DOVE  
COUNTRY OF CITIZENSHIP : United States  
FULL RESIDENCE ADDRESS : 490 West End Avenue  
New York, New York 10024  
FULL POST OFFICE ADDRESS : 490 West End Avenue  
New York, New York 10024  
SIGNATURE OF INVENTOR \_\_\_\_\_  
DATE \_\_\_\_\_

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09/033,402	02 MARCH 1998	PENDING

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FULL NAME OF FIRST INVENTOR : ANTHONY CERAMI

COUNTRY OF CITIZENSHIP : United States

FULL RESIDENCE ADDRESS : 525 East 72nd Street  
New York, New York 10021

FULL POST OFFICE ADDRESS : 525 East 72nd Street  
New York, New York 10021

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

FULL NAME OF SECOND INVENTOR : CARLA CERAMI  
COUNTRY OF CITIZENSHIP : United States  
FULL RESIDENCE ADDRESS : 131 Avenue A  
New York, New York 10009  
FULL POST OFFICE ADDRESS : 131 Avenue A  
New York, New York 10009

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

FULL NAME OF THIRD INVENTOR : COHAVA GELBER  
COUNTRY OF CITIZENSHIP : Israel  
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FULL POST OFFICE ADDRESS : 11 Clubway  
Hartsdale, New York 10530

SIGNATURE OF INVENTOR Cohava Gelber

DATE 4-5-99

FULL NAME OF FOURTH INVENTOR : DAVID DOVE  
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FULL POST OFFICE ADDRESS : 490 West End Avenue  
New York, New York 10024

SIGNATURE OF INVENTOR David Dove

DATE 4/5/99

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: A. CERAMI et al.Application No./Patent No.: To Be Assigned Filed/Issue Date: February 20, 2004Entitled: METHOD AND DEVICES FOR modulating The IMMUNE RESPONSEApplied Vaccines Technologies, a CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 009985, Frame 0001, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 20, 2004  
Date

914-762-7586  
Telephone number

CARLA CERAMI  
Typed or printed name

[Signature]  
Signature

Treasurer  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

<b>Application Number</b>	TBA
<b>Filing Date</b>	February 20, 2004
<b>First Named Inventor</b>	Anthony Cerami
<b>Title</b>	Method and Devices . . .
<b>Art Unit</b>	TBA
<b>Examiner Name</b>	TBA
<b>Attorney Docket Number</b>	CC98-002B04-US

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Frederick J. Hamble	42,623
Michael Yamin	44,414
John Mulgrew	47,809

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name Frederick J. Hamble

Address 712 Kitchawan Rd.

Address

City Ossining State NY Zip 10562

Country USA

Telephone 914-762-7586 Fax 914-762-7586


I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name Carla Cerami

Signature 

Date February 20, 2004

Telephone 914-762-7586

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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